### **DVHA Routing Form**

Revision Date 5/1/12

Type of Agreement: Grant Agreem	nent #: 03410-248-11*	Form of Agreemen	nt: Amendment	Amendment #: 1
Name of Recipient: Bi-State Primary Car	e Association		Vendo	r#: 12872
Program Manager: Hunt Blair			Phone #: 879-	5625
Agreement Manager: Sawyer Joecks			Phone #: 879-	5922
Brief Explanation of Amendment extends the Agreement:	agreement and adds He	alth Care Reform (I	HCR) assistance	to the scope of work
Start Date: January 20, 2011	End Date: December 31, 2	012 Max	imum Amount: \$6	526,161.00
Amendments Only: Maximum Prior A	mount: \$546,314.00	Pe	rcentage of Chang	e: 14.62%
Bid Process (Contracts Only):   Standard	I ☐ Simplified	☐ Sole Source	☐ Statutory	☐ Master Contract SOW
Section and the section of the secti	Funding	Source —		
Global Commitment 93.778				
HIT Fund	-			
ATT Fund				-
<u> </u>	Contents of Att	ached Packet		
☐ AA-14 ☐	Attachments A, B, C & F		☐ Attachmen	nt G - Academic Research
Sole Source Memo	Attachment D - Modificat	ions to C & F	☐ MOU	
☐ Qualitative/Justification Memo ☐	Attachment E - Business	Associate Agreement	Other: G	rant Amendment 1
Rev	riewer		Reviewer Initials	Date In Date Out
OVHA Grant & Contract Administrator	Kate Jones	201.	Teh-	5/17
OVHA BO	Jill Gould		1/2	3/17/02 3/18/12
DVHA Commissioner or Designee	Hunt Blair, Dpty Com	missioner	fil	S118/12/5/18/16
AHS Attorney General	Seth Steinzor, AAG		SI	1/22/12
Following Approvals for Contracts Only:	The state of the s			17
AHS CIO	Barren - Italia			
AHS Central Office		Hais Line		
AHS Secretary RECEIVED				
MAY 2 5 2012  DEPARTMENT OF THE SECTION OF THE SECT	partment: 3410010000, I	Fund: <mark>20405 (GC)</mark> A	ND 21916 (HIT)	, Program: 41626 (GC) 41470
FFATA Entry Grant Tracking Module	Vision PO #:	Initials & Date:		Approval & B/C:

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#### **AMENDMENT**

It is agreed by and between the State of Vermont, Department of Vermont Health Access (hereafter called the "State") and Bi-State Primary Care Association (hereafter called the "Grantee") that the grant on the subject of matching funds for federal ARRA grant for Health Information Technology initiatives, effective January 20<sup>th</sup>, 2011, is hereby amended effective May 31, 2012 as follows:

- 1. By deleting on page 1 of 23, Section 3 (Maximum Amount) and substituting in lieu thereof the following Section 3:
  - 3. <u>Maximum Amount.</u> In consideration of the services to be performed by Contract, the State agrees to pay Contractor, in accordance with the payment provisions specified in Attachment B, a sum not to exceed \$626,161.
- 2. By deleting on page 1 of 23, Section 4 (Contract Term) and substituting in lieu thereof the following Section 4:
  - **4.** <u>Contract Term.</u> The period of the Contractor's performance shall begin upon execution by both parties and end on December 31, 2012.
- 3. By adding the following language to Attachment A: Specification of Work to be Performed on page 3 of 23:

Scope of Work Supplement for Work Period 6/1/2012 through 12/31/2012 - Defined as "Year 3"

The State will engage the Grantee to assist in providing their expertise to broadly develop visual representations of Vermont's primary care delivery system, and other work related to Health Information Technology (HIT) and the primary care continuum. These visualizations will provide valuable feedback and information about the status of the work flow re-design efforts and activities over time. Grantee staff will develop and produce digitized geographic informational mapping and will utilize various methods which will include, but not be limited to, working with GIS (Geographical Information System) tools.

As part of their work, the Grantee will be involved in producing visualizations and mapping the progress of:

- Electronic Health Records (EHRs)
- Health Information Exchange (HIE)
- Blueprint for Health Infrastructure
- Patients who Utilize Blueprint Practices
- Community Health Teams
- Status of EHR interfaces
- Vermont Health Information Exchange Network



These visualizations and other related work will provide viewers and stakeholders with an orientation to the overall continuum of primary care in the state, the intersection between IIIT and the Vermont Primary Care

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Delivery System and ways for projects to be evaluated and progress analyzed. For this scope of work, the Grantee's staff will be engaged with staff from the State and other entities on an as needed basis.

# 4. By deleting on page 14 of 23, the "Approved Budget" table, and substituting in lieu thereof the following table:

#### **Approved Budget:**

DELIVERABLE	YEAR 1	YEAR 2 and YEAR 3
FIXED PRICE DELIVERABLE:  Bi-State Assistance on Health Care Reform Projects  Bi-State provides technical expertise todevelop visual representations of Vermont's primary care delivery system, and other work related to Health Information Technology (HIT) and the primary care continuum, as requested. Accompanying each invoice will be a brief report of work accomplished as well as any documents created  \$3,150 to be invoiced on 6/30/12  \$3,150 to be invoiced on 7/31/12  \$3,150 to be invoiced on 9/30/12  \$3,150 to be invoiced on 10/31/12  \$3,150 to be invoiced on 11/30/12  \$3,150 to be invoiced on 11/30/12  \$3,150 to be invoiced on 12/31/12  An additional amount, up to \$1,350 can be billed in any month, if Bi-State documents in the monthly report that project work took over 7 days (\$450,		\$31,500
Bi-State Conducts HIT Project Management  \$48,347 to be invoiced upon HIT Project Mgmt contract execution \$24,173.50 to be invoiced on 2/28/11 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 5/31/11 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 8/31/11 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 11/30/11 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 2/28/12 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 7/15/12 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 9/30/12 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 12/31/12 (quarterly cumulative report due with invoice) \$24,173.50 to be invoiced on 12/31/12 (quarterly cumulative report due with invoice)	\$96,694	\$145,041

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FQHCs Achieve Practice Redesign Process and Data Goals.  See the attached Incentive Matrix. Please note that the possible incentive for each participating FQHC will vary (based upon FQHC size) and that not all measures (e.g., prenatal and birthweight measures) will be applicable for all FQHCs). The funding tied to these measures is listed as "projected" because it is possible that a Year1 FQHC might run behind schedule and not achieve some project goals until Year 2. It is also possible that an FQHC might not achieve all possible project goals and consequently not capture all possible incentive payments.	\$19,624	\$277,830 (projected)
THC performs data entry of paper charts into EHR or CDR		\$25,714
LRHC performs data entry of paper charts into EHR or CDR		\$29,758
TOTAL	\$116,318	\$509,843

Variances of the budgeted lines items shall not exceed 10% without prior approval from State. Written requests for such approvals must first be submitted by the Grantee prior to the expenditure of funds in excess of the above budgeted line items.

# 5. By deleting on page 13 of 23 Attachment B in its entirety, and substituting in lieu thereof the following Attachment B:

### ATTACHMENT B PAYMENT PROVISIONS

The maximum dollar amount payable under this agreement is not intended as any form of a guaranteed amount. The Grantee will be paid for services specified in Attachment  $\Lambda$ , or services actually performed, up to the maximum allowable amount specified in this agreement. The payment schedule for delivered products, or rates for services performed, and any additional reimbursements, are included in this attachment. The following provisions specifying payments are:

Payment of performance-based deliverables in the Incentive Matrix will occur quarterly, and is contingent upon the State's approval of Grantee's goal completions. Notice of goal completions shall be reflected in a quarterly updated incentive matrix.

All payments tied to the incentive matrix shall clearly identify expenses covered by the Blueprint Grant referenced in Attachment A. When quarterly reports are submitted, Blueprint costs must be distinctly highlighted and separated from allowable invoiced costs as relating directly to this grant.

The State will pay invoices of actual expenses outlined in the Approved Budget listed on page 14, as well as fixed price expenses relating to HIT Project Management, on a quarterly basis. HIT Project Management costs account for \$241,735 in this agreement agreement. These payments are contingent upon receipt of a quarterly report highlighting work performed during that period, in addition to documentation of expenses.

A final expenditure report is due no later than 45 days after the end of the grant (December 31, 2012).

Payments to the Grantee under this grant agreement shall not exceed \$626,161.

STATE OF VERMONT GRANT AMENDMENT BI-STATE PRIMARY CARE ASSOCIATION

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Invoices shall be signed by an authorized representative of the Grantee, include the month of service, total dollar amount, be printed on the Grantee's official letterhead, reference this agreement number, and be submitted to:

Business Office Department of Vermont Health Access 312 Hurricane Lane, Suite 201 Williston, VT 05498-2087

Variances of the budgeted lines items in the Approved Budget shall not exceed 10% without prior approval from State. Written requests for such approvals must first be submitted by the Grantee prior to the expenditure of funds in excess of the budgeted line items.

6. By Adding an Attachment D to the end of the agreement on page 23 of 23 as follows:

#### ATTACHMENT D

# MODIFICATION OF CUSTOMARY PROVISIONS OF ATTACHMENT C OR ATTACHMENT F

- 1. The insurance requirements contained in Attachment C, Section 7 are hereby modified:
- 2. Requirements of other Sections in Attachment C are hereby modified:
- 3. Requirements of Sections in Attachment F are hereby modified:

Remove Section 10, "Intellectual Property / Work Product Ownership" from the agreement.

#### 4. Reasons for Modifications:

Bi-State Primary Care Association would like to retain ownership of their work products, because Bi-State anticipates utilizing underlying data and visualization templates in documents we will be preparing to educate our members and stakeholders.

#### STATE OF VERMONT GRANT AMENDMENT BI-STATE PRIMARY CARE ASSOCIATION

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GRANT #: 03410-249-11

Approval:	
Assistant Attorney General:	
Date:	
State of Vermont – Attachment D Revised AHS – 12-08-09	

7. By deleting on pages 10-11 of 23, the table "Rewards for Accomplishing VRHA HIT Project Goals" and substituting in lieu thereof the following table, as Appendix 1:

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STATE OF VERMONT GRANT AMENDMENT BI-STATE PRIMARY CARE ASSOCIATION

							İ					Date Goals Total Samed			\$17,458,00	\$11,955.00	\$0.00	\$19,620.58	\$17,739.56		Total Incentive Payment Earned			\$36,859.00				\$31 619.26
												Data Goals Total Possible		\$19,726.00	\$19,546.00	\$1,888.70 \$1,955.00	\$14,991.00	\$23,750.58	\$24,000.56		Total Incentive Payment Possible		\$47.310.00	\$50 032 00	\$6.364.35	\$68 831.72	\$54,304,68	57 CGF 014
		Process Goals Total Earned		\$11,005,00	\$16.374.00	\$10.032.35	\$13 475.72	\$13 892.00	\$18 565 00		7	Organ zation can use EHR or Do-Site to pull data required for applicable dinical performance measures	Amount	\$0.00	\$3,086.00	\$1,888.70	\$0.00	\$3,098.00	\$3,130.56		ZQHC		CHCB	CHCRR	THC	LRHC	NCHC	No.
		Process P Goals Total 1 Possible					000	\$0.00 \$72 554.00			10	Organ zation car use E-R or So-Site to pull data required for applicable dinks performance mestures	Goal ossible rref? eward (Y)	\$2,573.00	\$0.00 \$3,096,00 \$	\$1,838,00V	\$1,956.00	\$0.00 \$3,098,00	\$3,130.56 Y									
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			Amount Due	\$0.00 \$3,431.00	\$2,057,00 \$2,175,00	\$0.00 \$2.5-7.00	\$1,303.72 \$2,607.00	\$2,065.00 \$4 131.00 \$1,368.00 \$2 735.00	\$2.087.00 \$4.174.00	TOWN SERVICE		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Amount Due	\$0.00	\$0.00	00 08	\$0.00	\$0.00 \$2,065.00	\$0.00			Amount Dee	S					
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Rewards for Accomplishing VRHA HIT Project Goals		nmembers n Learning or 3	Amount Due	00.0\$	\$1,750.00	\$1,258.35 \$1,258.00	\$1,304.00	\$1,368,30 \$2,055,00 \$1,368,30 \$1,358,00	\$2,057.00		3	Organization can use BHR or Do Site to pull universe of women who have received Pap text	Amount Cue	\$1,7-6.00	\$2,067.00	\$1.258.00	\$0.00	\$2,065.00 \$2,065.00	S2 087 00 \$2,087 00	itry Soals - Ac	Sub-explient agreement for data entry signed	Goal Tage (7) Amount Due		\$12,857				
ing VRHA HI	24	Pu 73% of team members participate in Leaming. Session 3	Goal Possible met? Reward (1)	\$0.00 \$: 718.00	\$2,057.00 \$2,057.00 Y	S1.258.00 \$1.258.35 Y	S1 304.00 \$1,304.00 Y	\$2 065.00 \$2,065.00 Y	\$2.087.00 \$2,087.00 Y	20			Possib e Reward	\$1,716.00 Y	\$4,115.00 \$2,057.00 Y	\$1.258.007	\$1,304.00		¥1,300,000 ¥	EMIR Data Er	Sub-reciplent data ent	Possible Reward	\$14,879	\$12,557 Y				
Accomplish	"ave"	PS. 75% of team members participate in Learning Session 2	net? Posible Nount Gue Reverd		\$2,057.00	\$1,258.00	\$1 304.00	\$ 368.00	\$2 087.00	Data Goals - Accomplishments	03	Organization can use EHF or DocSite to pull universe of children who have received required immunications	Gost mest? (Y) Arrount Due	\$3,431.00	\$4,115.00	\$2517.00			\$4,74.00			FOHC	LR+C	오				
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\$424,928.69 \$269,243.69

TOTAL

#### STATE OF VERMONT GRANT AMENDMENT BI-STATE PRIMARY CARE ASSOCIATION

PAGE 7 OF 7 GRANT # 03410-249-11 AMENDMENT #1

This amendment consists of 7 pages. Except as modified by this amendment and any previous amendments, all provisions of this grant, (#03410-248-11) dated January 20<sup>th</sup>, 2011 shall remain unchanged and in full force and effect

STATE OF VERMONT

GRANTEE

DEPARTMENT OF VERMONT HEALTH ACCESS

BI-STATE PRIMARY CARE ASSOCIATION

MARK LARSON, COMMISSIONER

DATE

ORI (H. REAL, COO

DATE